

PATENT

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Appl. No.: To Be Assigned  
Applicant(s): David M. Chipman, et al.  
Filed: Concurrently Herewith  
Title: PROCESS FOR PREPARING CHIRAL AROMATIC  $\alpha$ -HYDROXY  
KETONES USING 2-HYDROXY-3-OXOACID SYNTHASE

Docket No.: 040990/281003  
Customer No.: 00826

Mail Stop PCT  
Attn: DO/US (PTO)  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**PRELIMINARY AMENDMENT  
37 CFR § 1.115**

Sir:

Please enter this Preliminary Amendment before calculating the claim fee and amend the above-identified application as follows:

**Amendments to the Specification** begin on page 2.

**Amendments to the Claims** are reflected in the listing of claims beginning on page 3 of this paper.

**Remarks** begin on page 11 of this paper.